

INTERNATIONAL PAPER

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May 11, 2006

Commissioner for Patents Post Office Box 1450 Alexandria, Virginia 22313-1450

RE: SUBMISSION OF INFORMATION DISCLOSURE STATEMENT

Applicant: THOMAS A. FONTANA

Serial No. : 10/654,661

Filed on : SEPTEMBER 3, 2003

Title : ROSIN PHENOLIC RESINS AND USES RELATED THERETO

Our Ref. : ARZ-023635-I1

Dear Commissioner:

Enclosed herewith for filing, Applicant(s) respectfully submit(s) the following checked items:

✓ Information Disclosure Statement by Applicant(s), 2 sheet(s), to which Form PTO/SB/08, 3 sheet(s), has been attached along with a copy of each reference(s) cited thereon;

Supplemental Information Disclosure Statement by Applicant(s), _____ sheet(s), to which Form PTO/SB/08, ____ sheet(s), has been attached along with a copy of each reference(s) cited thereon; and,

a postage-prepaid, return-receipt postcard with a listing of the above-checked items.

Please stamp the enclosed postcard and return same to me to indicate your receipt of the above-listed items. Please feel free to contact me if you have any questions concerning the above or the enclosed.

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With kindest regards,

Thomas W. Barnes Agent for Applicant(s)

Enclosures TWB/ jat

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 C.F.R. § 1.8

Jane A Torhlinson



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C., UNITED STATES OF AMERICA

In re Application of:

Applicant(s): THOMAS A. FONTANA

Serial No.: 10/654,661

Filing Date: SEPTEMBER 3, 2003

Entitled: ROSIN PHENOLIC RESINS AND USES

RELATED THERETO

Our File Ref.: ARZ-023635-I1 Group Art Unit: 1711

05/16/2006 FFANA<u>EIA 00000062 0</u>90525 10654661

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INFORMATION DISCLOSURE STATEMENT SUBMITTED PERSUANT TO 37 C.F.R. 1.97(c)(2) AND AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT

Examiner: S. A. ACQUAH

Commissioner for Patents United States Patent and Trademark Office Post Office Box 1450 Alexandria, Virginia 22313-1450

Dear Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. 1.56, Applicant(s) hereby notifies the U.S. Patent and Trademark Office of certain documents which the Examiner might deem relevant to the issue of patentability of the claims pending in the above-referenced patent application. Enclosed herewith please find Form PTO/SB/08, Information Disclosure Statement by Applicant, __3_ sheet(s), and a copy of each reference cited thereon.

This Information Disclosure Statement is filed after the period specified in 37 C.F.R.

1.97(b) but before the mailing date of a final action under 37 C.F.R. 1.113 and before the mailing of a notice of allowance under 37 C.F.R. 1.311 and before the mailing of an action that otherwise closes prosecution of the above-referenced patent application.

The undersigned counsel hereby authorizes the Office to charge counsel's deposit account no. ___09-0525__ in the amount of ___180.00__, that being the fee required under 37

Serial No. 10/654,661 Our Ref. ARZ-023635-I1

C.F.R. 1.17(p), plus any deficiency, and authorizes the Office to credit back to said deposit

account any overpayment made hereby. Applicant(s) respectfully requests pursuant to 37

C.F.R. 1.97(c)(2) that the Examiner considers each of the references disclosed herewith.

The submission of the documents enclosed herewith is not intended as an admission

that such documents constitute prior art under Title 35 of the United States Code, as against the

claims of the above-referenced patent application. Applicant(s) does not waive any right to take

action that would be appropriate to antedate or otherwise overcome the use of any document

submitted herewith as prior art under Title 35 of the United States Code.

Applicant(s) respectfully requests that the Examiner initial each of the references listed

on the attached Form PTO/SB/08 and return same to the undersigned attorney in accordance

with MPEP 609.

Should the Examiner have any questions relating to the submission of the within

Information Disclosure Statement or relating to the copies submitted therewith, Applicant(s)

respectfully request that the Examiner contact the undersigned counsel, who can be reached at

the telephone numbers listed below.

Date:

May 11, 2006

Respectfully submitted,

Thomas W. Barnes, III Reg, 52,595

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Counsel for Applicant

International Paper Company

6285 Tri-Ridge Boulevard

Loveland, Ohio 45140

513.248.6000 telephone

513.248.6455 facsimile

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PTO/SB/08A (08-03)
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Substitute for form 1449/PTO

MAY 1 5 2006

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

 Complete if Known

 Application Number
 10/654,661

 Filing Date
 SEPTEMBER 3, 2003

 First Named Inventor
 THOMAS A. FONTANA

 Art Unit
 1711

 Examiner Name
 SANUEL A. ACQUAH

 Attorney Docket Number
 ARZ-023635-I1

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	US- 0.007 000	07.40.4025		-
	Α	US- 2,007,983	07-16-1935		
	В	^{US-} 2,101,944	12-14-1937		
	С	^{US-} 2,309,610	01-26-1943		
	D	^{US-} 2,572,071	10-23-1951		
	E	^{US-} 2,750,296	06-12-1956		
	F	^{US-} 2,848,430	08-19-1958		
	G	^{US-} 3,531,302	09-29-1970		
	Н	^{US-} 3,674,732	07-04-1972		
	1	^{US-} 3,880,788	04-29-1975		
	J	^{US-} 4,079,102	03-14-1978		
	K	^{US-} 4,198,329	04-15-1980		
_	L	^{US-} 4,391,640	07-05-1983		
	М	^{US-} 4,528,036	07-09-1985		
	N	US- 4,552,592	11-12-1985		
	0	US- 4,597,793	07-01-1986		
	Р	^{US-} 4,639,492	01-27-1987		
	Q	^{US-} 4,725,384	02-16-1988		
	R	^{US-} 4,857,624	08-15-1989		
	S	^{US-} 4,966,945	10-30-1990		

Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	Or Relevant Figures Appear	T
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Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nicind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	T	^{US-} 5,021,538	06-04-1991		
	U	^{US-} 5,405,932	04-11-1995		
-	V	^{US-} 5,423,908	06-13-1995		
	W	^{US-} 5,427,612	06-27-1995		
	X	^{US-} 5,498,684	03-12-1996		
	Υ	^{US-} 5,549,741	08-27-1996		
	Z	^{US-} 5,556,454	09-17-1996		
	A1	^{US-} 5,597,884	01-28-1997		
	A2	^{US-} 5,698,668	12-16-1997		
	A3	^{US-} 5,708,078	01-13-1998		
	A4	^{US-} 5,886,128	03-23-1999		
	A5	^{US-} 5,969,071	10-19-1999		
	A6	^{US-} 6,022,947	02-08-2000		
	A7	^{US-} 6,153,693	11-28-2000		
	A8	US- 6,172,174	01-09-2001	· -	
	A9	^{US-} 6,469,124	10-22-2002		
	B1	^{US-} 6,469,125	10-22-2002		
	B2	^{US-} 04/0181026	09-16-2004		
	В3	US-			

Examiner Initials*	Cite No.1	Cite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
	F1	EP 0 066 294	12-08-1982			
	F2	EP 0 733 684	03-17-1995			
	F3	EP 0 733 685	03-17-1995			\Box
	F4	EP 0 955 325	11-10-1999			
•	F5	JP-62-260810	11-13-1987			
						-

Examiner	Date	
Signature	Consider	ed

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Translation is attached.
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Complete if Known Substitute for form 1449/PTO **Application Number** 10/654,661 Filing Date SEPTEMBER 3, 2003 INFORMATION DISCLOSURE First Named Inventor THOMAS A. FONTANA STATEMENT BY APPLICANT Art Unit 1711

(Use as many sheets as necessary) **Examiner Name** SANUEL A. ACQUAH ARZ-023635-I1 Attorney Docket Number Sheet 3

Examiner	Cita	Dearmant Number	U. S. PATENT DO Publication Date		Banco Calumna Linga Mhara
Initials*	Cite No. ¹	Cite No.1 Number Number Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	В3	^{US-} 5,096,996	03-17-1992		
	B4	^{US-} 5,498,684	03-12-1996		
		US-			
•	l	US-			

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear		
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			T	
		EP 0 362 727	01-18-1995				
		EP 0 649 889	10-18-1993				
		WO 98/58002	12-23-1998				
		WO 03/082984	10-09-2003				
						Г	

Examiner	Date	
Signature	Considered	

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